



- 400 East Vine Street, Suite 400, Lexington, KY 40507
- M (859) 226-0625
- A info@ballastplan.com | ballastplan.com

ABOUT US

Ballast is a boutique, independent, and employee-owned financial planning and investment management firm. With a deep and thorough understanding of each client's financial picture and life's goals, Ballast develops bespoke, creative solutions to optimize the financial lives of its clients.

MISSION

To provide a balanced and purposeful perspective to wealth management. To guide with honed expertise, responsible stewardship, and steady refinement to make an impact across generations, through storms and calm seas.

VISION

Since our founding, our vision remains steadfast: build a team that we would want to take care of our own families.

WHO WE SERVE

- Business Owners
- Physicians + Dentists
- High-Income Earners Retirees/Soon-to-be Retirees
- Trusts/Legacy/High Net Worth

CORE VALUES

- + Problem Solvers
- Team-Based Approach
- Proactive Guidance Accountability Partner
- **Clients First**
- + Civically Involved+ Thought Leaders

SERVICES WE OFFER

- Financial Planning
- Investment Management Personalized Advice
- Personal CFO +
- Financial Advocate

WE WILL...

- Serve as your Personal CFO
- Promote Financial Confidence Develop Creative Solutions
- Continually Educate Ourselves and Our Clients
- Be Your Accountability Partner

OUR CLIENTS

More than \$462,000,000 Assets Under Management*

Average Household Account = \$1,224,845*

378 Clients/Households*

*as of 3/9/2023

OUR TEAM

- John Boardman (CFP®) Founder/CEO
- Andy Reynolds (CFP®, MBA) Partner, COO
- Partner, COO Brian Burton (CFP®) Partner, Direcor of Portfolio Strategy Cameron Hamilton (CFP®, MBA) Partner, Director of Portfolio Strategy Frank Yozwiak (CFP®, J.D., LL.M.) Director of Estate Planning & Tax

- 12 Total Employees

Ballast, Inc. is a registered investment adviser with the SEC. Registration with the SEC does not indicate that the adviser has achieved a particular level of skill or ability, nor is it an endorsement by the SEC. All investment strategies have the potential for profit and loss. Ballast, Inc. is not engaged in the practice of law or accounting Always consult an attorney or tax professional regarding your specific legal or tax situation. Any specific strategy or market/economic commentary may or may not be appropriate for your individual situation, may not have discussed all material implications of implementing said strategy, and may be reliant on data provided by outside resources. Prior to implementing any strategy or investment decision discussed in a Ballast commentary, please consult with the appropriate professionals to confirm thoroughness of the strategy presented and the appropriateness of said strategy for your individual situation.